

# Deposit account application

## Personal - Joint - Sole trader

Please complete this form in BLOCK CAPITALS and then return to Close Brothers Limited, 10 Crown Place, London EC2A 4FT. All fields are mandatory, unless otherwise stated. We may have to return incomplete or incorrectly filled forms which may delay the processing of your application. If you have any questions regarding your application, please contact us on 0207 655 3407.

Please note: If you are **only** applying for a sterling deposit account, please complete sections 1, 2, 3, 4, 6, 7, 8 and 9.

If you are **only** applying for accounts in a foreign currency, please complete all sections, excluding section 4.

If you are applying for **both** sterling **and** other currency accounts, please complete **all** sections.

### Section 1. About you

Name of account holder(s) (Please note that the account holder name **must** be the same as the attached bank statement(s))

#### Personal account holder's details

Title  Forename(s)  Surname

Date of birth           Nationality  Residency (UK only)  UK

Home telephone number  Mobile telephone number

Email address

Current residential address (all correspondence will be sent to this address)

Postcode

Previous residential address (only complete if you have lived at your current residential address for less than two years)

Postcode

#### For joint accounts only - joint account holder details

Title  Forename(s)  Surname

Date of birth           Nationality  Residency (UK only)  UK

Home telephone number  Mobile telephone number

Email address

Current residential address (only complete if different from above)

Postcode

Previous residential address (only complete if you have lived at your current residential address for less than two years)

Postcode

## Application for a Deposit account - Personal - Joint - Sole trader (continued)

### Section 2. Password

Please provide us with a suitable password which is easy to remember and difficult to guess. The password will be used as part of our identification process for authorised individuals.

Password           (minimum of 5 characters and maximum of 10 characters without spaces)

### Section 3. Account requirements

Sterling account details

| Account type                                 | Term | Deposit amount | Initial deposit method   |                          |                          |
|--|------|----------------|--------------------------|--------------------------|--------------------------|
|  |      |                | Cheque                   | CHAPS                    | BACS                     |
| Easy Access account <input type="checkbox"/> | N/A  |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Notice Account <input type="checkbox"/>      |      |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fixed Term Deposit <input type="checkbox"/>  |      |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

Sterling deposits may be made by:

- Enclosing a cheque payable to Close Brothers Limited RE 'Account holder name'.
- Bank transfer direct to Close Brothers Limited: Account number 00000000, Sort code 16-51-87, reference 'Account holder name'
- Please note that initial deposits must originate from your nominated UK bank account as detailed in Section 4: Nominated UK bank account for sterling repayments.

Foreign currency account details

| Account type                                 | Term | Deposit amount | Currency                 |                          |                      |
|--|------|----------------|--------------------------|--------------------------|----------------------|
|  |      |                | Euro                     | US Dollar                | Other                |
| Easy Access account <input type="checkbox"/> | N/A  |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="text"/> |
| Notice Account <input type="checkbox"/>      |      |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="text"/> |
| Fixed Term Deposit <input type="checkbox"/>  |      |                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="text"/> |

Please contact Close Brothers Treasury for details of how to remit funds to foreign currency accounts.

Please note that initial deposits must originate from your nominated bank account as detailed in Section 5: Nominated bank account(s) for foreign currency repayments.

### Section 4. Nominated UK bank account for sterling repayments (for other currencies please complete Section 5)

All repayments will only be sent to your nominated bank account which must be held in the 'Account holder name'.

Nominated UK bank account

Bank name & address

Sort code    Account number

Roll number

### Section 5. Your nominated bank account(s) for foreign currency repayments

If you are applying for foreign currency accounts, please complete the following section.

All repayments will only be sent to your nominated bank account(s) which must be held in the 'Account holder name'.

Currency  US Dollar  Euro  other (please specify)

Bank name

Address

IBAN  SWIFT/BIC

Account number  Sort code

---

Currency  US Dollar  Euro  other (please specify)

Bank name

Address

IBAN  SWIFT/BIC

Account number  Sort code

## Application for a Deposit account - Personal - Joint - Sole trader (continued)

### Section 6. Financial information

Please describe how the funds for your initial deposit were acquired (e.g. sale of asset)

| What is your expected average monthly balance for your account(s)?                | £10,000 - £50,000        | £50,001 - £100,000       | £100,001 - £250,000      | £250,001 - £500,000      | £500,001 - £10,000,000   |
|---|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Easy Access account   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Notice Account  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| What is your expected number of payments into your account(s) on a monthly basis? | 0 - 5                    |                          | Greater than 5           |                          |                          |
| Easy Access account   | <input type="checkbox"/> |                          | <input type="checkbox"/> |                          |                          |
| Notice Account  | <input type="checkbox"/> |                          | <input type="checkbox"/> |                          |                          |

### Section 7. How we use your information

Please note that Close Brothers Limited will hold and use your personal information for the purposes of providing our services to you and for other purposes connected with our relationship with you, and we may share your personal information with others, including credit reference agencies and fraud prevention agencies, for certain purposes. Please see condition 11 of the Customer Agreement ('How we use your information'), which describes the information we will be using and sharing, who we may share it with and the purposes that we and they will use it for. We may also use your personal information and share it with other companies within Close Brothers Group for us and them to send you information about products or services that we or they think may be of interest to you. By signing this application form you agree to this.

If you do not want to receive marketing information from Close Brothers Limited in this context, please tick here:

If you do not want to receive marketing information from other companies in our group in this context, please tick here:

You may contact us at any time to ask us not to use your information in this way.

From time to time we would like to offer you products and services that we believe may be of interest or benefit to you and are offered by companies outside of Close Brothers Group. We will not disclose your details to third parties, unless you specifically opt-in to this type of mailing.

To opt in, please tick here:

You may contact us at any time to ask us not to use your information in this way.

### Section 8. Documentation checklist

Please forward the following documents with your completed application form (All original documentation will be returned)

A copy of a bank statement dated within the last six months, confirming your nominated account(s) for repayments as detailed in Section(s) 4 and/or 5\*

If you are entitled to receive interest without the deduction of tax, completed R85 form(s).

Sole traders only:

To confirm your trading address as above, please provide a recent utility bill addressed to your trading name or alternatively, a letter on your trading headed paper requesting us to open the account. Please note we are unable to accept mobile phone bills.

\*Please note we are unable to accept internet banking print outs. If you are unable to supply a recent bank statement and a cheque deposit is not attached, please provide a cancelled cheque or blank paying in slip confirming your nominated account details.

# Application for a Deposit account - Personal - Joint - Sole trader

## Section 9. Acknowledgement and confirmation

### Important information

All deposits placed with Close Brothers Limited are subject to our general Customer Agreement, and to any product specific additional conditions as detailed in our literature (the 'Terms & Conditions'). The Close Brothers Limited Customer Agreement and any additional conditions form the standard client agreement upon which we intend to rely. A copy of the Customer Agreement is provided with this application form or can be found on our website ([www.closebrothers.co.uk](http://www.closebrothers.co.uk)). For your own benefit and protection you should read the Customer Agreement and additional conditions carefully before agreeing to them by returning this application form. If you do not understand any point please ask for further information. Copies of the Customer Agreement are also available upon request by telephoning us on 020 7655 3566.

I/We apply to open the account(s) as indicated on this application form. I/We accept the Customer Agreement and any additional conditions contained in this application form, on your website or any other marketing material sent to us and confirm that the details given by us are correct and will remain in force until such time as you receive notice from us in writing to the contrary, together with any necessary revised documentation required by you.

Account holder signature

Joint Account holder signature (if applicable)

Print name

Title

Date

Print name

Title

Date

Close Brothers Treasury and Close Brothers Savings are trading styles of Close Brothers Limited ('CBL'), a subsidiary of Close Brothers Group plc. CBL is registered in England and Wales with company number 195626 and registered office at 10 Crown Place, London EC2A 4FT. Close Brothers Limited is authorised and regulated by the Financial Services Authority, firm reference number 124750. CBL is a member of the Financial Services Compensation Scheme ('FSCS') which protects up to £85,000 of an eligible depositor's funds held with CBL. The FSCS limit relates to the total funds held by a depositor with CBL, including their share of any joint account and not to each separate account.

## For office use only

|  |  |  |                                   |
|--|--|--|-----------------------------------|
| Marketing  | Customer administration                |  |                                   |
| Source <input type="text"/>                      | CAD checked <input type="text"/>       | Customer number <input type="text"/>                     |                                   |
| Account officer <input type="text" value="CBT"/> | Industry codes <input type="text"/>    | CAD approved <input type="text"/>                        |                                   |
| Market officer <input type="text"/>              | Initial deposit from nominated account | Yes <input type="checkbox"/> No <input type="checkbox"/> | Treasury back office              |
| Class <input type="text"/>                       | Tax to be deducted                     | Yes <input type="checkbox"/> No <input type="checkbox"/> | TBO input by <input type="text"/> |
| Customer group <input type="text"/>              | Documents complete                     | Yes <input type="checkbox"/> No <input type="checkbox"/> | TBO checked <input type="text"/>  |