

Deposit account application

Approved pension scheme - SIPP - SSAS

Please complete this form in BLOCK CAPITALS and then return to Close Brothers Limited, 10 Crown Place, London EC2A 4FT.
 All fields are mandatory, unless otherwise stated.

If you have any questions regarding your application, please contact us on 0207 655 3407.

Section 1. About the scheme

Account holder name (name of scheme) Please note that the Account holder name must be the same as the attached bank statement

Nature of scheme Account designation

Account holder contact details (please tick to confirm contacts you wish correspondence to be sent to)

Scheme Administrator Professional Trustee Independent Financial Advisor

Full name

Address

Postcode

Contact name

Telephone number

Email address

Scheme Member Scheme Member Scheme Member

Full name

Address

Postcode

Date of birth

Telephone number

Email address

Please tick the appropriate contacts to confirm where you would like correspondence sent to. If no box is ticked, we will automatically send correspondence to the scheme administrator only. If the financial advisor detailed above is authorised to give investment instructions regarding your account(s), please provide suitable authority signed by the account holder.

Section 2. Account requirements

Sterling account details

Account type	Term	Deposit amount	Initial deposit method		
			Cheque	CHAPS	BACS
Easy Access account <input type="checkbox"/>	N/A		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Notice Account <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fixed Term Deposit <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Sterling deposits may be made by:

- Enclosing a cheque payable to Close Brothers Limited RE 'Account holder name'.
- Bank transfer direct to Close Brothers Limited: Account number 00000000, Sort code 16-51-87, reference 'Account holder name'
- Please note that initial deposits must originate from your nominated UK bank account as detailed in Section 3: Nominated UK bank account for sterling repayments.

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Foreign currency account details

Account type	Term	Deposit amount	Currency		
			Euro	US Dollar	Other
Easy Access account <input type="checkbox"/>	N/A		<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Notice Account <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>
Fixed Term Deposit <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>

Please contact Close Brothers Treasury for details of how to remit funds to foreign currency accounts.

Please note that initial deposits must originate from your nominated bank account as detailed in Section 6: Nominated bank account(s) for foreign currency repayments.

Section 3. Nominated UK bank account for sterling repayments (for other currencies please complete Section 6)

All repayments will only be sent to your nominated bank account(s) which must be held in the 'Account holder name'.

Nominated UK bank account

Bank name & address

Sort code

Account number

Roll number

Section 4. How we use your information

Please note that Close Brothers Limited will hold and use your personal information for the purposes of providing our services to you and for other purposes connected with our relationship with you, and we may share your personal information with others, including credit reference agencies and fraud prevention agencies, for certain purposes. Please see condition 11 of the Customer Agreement ('How we use your information'), which describes the information we will be using and sharing, who we may share it with and the purposes that we and they will use it for. We may also use your personal information and share it with other companies within Close Brothers Group for us and them to send you information about products or services that we or they think may be of interest to you. By signing this application form you agree to this. You may contact us at any time to ask us not to use your information in this way.

If you do not want to receive marketing information from Close Brothers Limited in this context, please tick here:

If you do not want to receive marketing information from other companies in our group in this context, please tick here:

From time to time we would like to offer you products and services that we believe may be of interest or benefit to you and are offered by companies outside of Close Brothers Group. We will not disclose your details to third parties, unless you specifically opt-in to this type of mailing. You may contact us at any time to ask us not to use your information in this way.

To opt in, please tick here:

Section 5. Documentation checklist

To complete our account opening formalities, please forward the following documents with your completed application form.

(All original documentation will be returned)

A copy of a bank statement dated within the last six months, confirming your nominated account(s) for repayments as detailed in Section 3

HMRC Approval for Plan

Current signatory List of the Scheme Administrators / Professional Trustees / Corporate Trustees / Authorised Officials

Original or certified copy of the Trust Deed (if applicable)

*If you are unable to supply a recent bank statement and a cheque deposit is not attached, please provide a cancelled cheque or blank paying in slip confirming your nominated account details.

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Section 6. Acknowledgement and confirmation

Important Information

All deposits placed with Close Brothers Limited are subject to our general Customer Agreement, and to any product specific additional conditions as detailed in our literature (the 'Terms & Conditions'). The Close Brothers Limited Customer Agreement and any additional conditions form the standard client agreement upon which we intend to rely. A copy of the Customer Agreement is provided with this application form or can be found on our website (www.closebrothers.co.uk). For your own benefit and protection you should read the Customer Agreement and additional conditions carefully before agreeing to them by returning this application form. If you do not understand any point please ask for further information. Copies of the Customer Agreement are also available upon request by telephoning us on 020 7655 3566.

We apply to open the account(s) as indicated on this application form. We accept the Customer Agreement and any additional conditions contained in this application form, on your website or any other marketing material sent to me/us and confirm that the details given by us are correct and will remain in force until such time as you receive notice from us in writing to the contrary, together with any necessary revised documentation required by you.

For and on behalf of the Account holder, signature

Print name

Date

For and on behalf of the Account holder, signature (if applicable)

Print name

Date

For and on behalf of the Account holder, signature (if applicable)

Print name

Date

For and on behalf of the Account holder, signature (if applicable)

Print name

Date

Close Brothers Treasury and Close Brothers Savings are trading styles of Close Brothers Limited ('CBL'), a subsidiary of Close Brothers Group plc. CBL is registered in England and Wales with company number 195626 and registered office at 10 Crown Place, London EC2A 4FT. Close Brothers Limited is authorised and regulated by the Financial Services Authority, firm reference number 124750. CBL is a member of the Financial Services Compensation Scheme ('FSCS') which protects up to £85,000 of an eligible depositor's funds held with CBL. The FSCS limit relates to the total funds held by a depositor with CBL, including their share of any joint account and not to each separate account.

For office use only

Marketing

Source

Account officer

CBT

Market officer

Class

Customer group

Customer administration

CAD checked

Industry codes

Initial deposit from nominated account

Tax to be deducted

Documents complete

Yes No

Yes No

Yes No

Customer number

CAD approved

Treasury back office

TBO input by

TBO checked