



CLOSE TREASURY

APPLICATION FOR FOREIGN EXCHANGE SERVICES

Please complete this form in BLOCK CAPITALS and then return to Close Brothers Limited at the above address.
ALL fields are mandatory, unless otherwise stated.

SECTION 1. ACCOUNT HOLDER DETAILS

Account Holder Name Please note that the Account Holder Name <u>must</u> be the same as the attached bank statement(s)	
Close Treasury Customer No.	

Please note this foreign exchange application form is for existing Close Treasury customers only.

SECTION 2. ACCOUNT REQUIREMENTS

Foreign Currency Account Details			Currency		
Account Type	Term	Deposit Amount	Euro	US Dollar	Other
Easy Access <input type="checkbox"/>	N/A		<input type="checkbox"/>	<input type="checkbox"/>	
Notice Account <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	
Fixed Term Deposit <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	

Please contact Close Brothers Limited for details of how to remit funds to foreign currency accounts.

Please note that initial deposits must originate from your nominated bank account as detailed in Section 3: Nominated Bank Account(s) for Foreign Currency Repayments, unless Section 4: Receiving Payments from Third Parties is completed.

SECTION 3. YOUR NOMINATED BANK ACCOUNT(S) FOR FOREIGN CURRENCY REPAYMENTS

If you are applying for foreign currency accounts or services, please complete the following section.

All repayments will only be sent to your nominated bank account(s) which must be held in the 'Account Holder Name', unless Section 5: Sending Foreign Exchange Payments to Third Parties is completed.

Currency	US Dollar	<input type="checkbox"/>	Euro	<input type="checkbox"/>	Other (please specify)
Bank Name					
Address					
IBAN				SWIFT/BIC	
Account No.				Sort Code	

Currency	US Dollar	<input type="checkbox"/>	Euro	<input type="checkbox"/>	Other (please specify)
Bank Name					
Address					
IBAN				SWIFT/BIC	
Account No.				Sort Code	

Currency	US Dollar	<input type="checkbox"/>	Euro	<input type="checkbox"/>	Other (please specify)
Bank Name					
Address					
IBAN				SWIFT/BIC	
Account No.				Sort Code	

SECTION 4. RECEIVING PAYMENTS FROM THIRD PARTIES

If you expect to receive payments into your account from a third party, please complete the following sections.

This information must be provided in order to receive third party funds.

The identity of the third party(ies) (if already known):	
Specific reason for receiving third party payments:	
Expected size and frequency of payments:	

APPLICATION FOR FOREIGN EXCHANGE SERVICES (continued)

SECTION 5. SENDING FOREIGN EXCHANGE PAYMENTS TO THIRD PARTIES*

If payments are to be sent by us to a third party i.e. to an account or accounts other than in your name, please complete the following boxes. **This information MUST be provided in order to make third party payments.**

The identity of the third party(ies) (if already known):	
Specific purpose of third party payments:	
Expected size and frequency of these payments:	

Please Note:

1. *Third party payments will only be made as a result of a foreign exchange transaction.
2. We will only make third party payments on your behalf on receipt, on each such occasion, of a request from you on our standard form, accompanied by sufficient documentation to support the purpose of the third party payment.
3. We will only make payments to certain foreign countries. Please check with us if in doubt as to whether a country is eligible or not.
4. Where a foreign exchange deal is contingent on a payment being made thereafter to a third party, you must let us know the details relating to the payment before placing the deal with us.
5. A charge of £10, or foreign currency equivalent (**as may be varied**), will be applied to your account with us for each third party payment made by us on your behalf.
6. All payments are subject to the terms of the Customer Agreement.

SECTION 6. DOCUMENTATION CHECKLIST

Please forward the following documents with your completed application form:

- A copy of a bank statement dated within the last six months, confirming your nominated account(s) for repayments as detailed in Section 3*
- A completed Mandate form as attached.

*Please note we are unable to accept internet banking print outs. If you are unable to supply a recent bank statement and a cheque deposit is not attached, please provide a cancelled cheque or blank paying in slip confirming your nominated account details.

SECTION 7. ACKNOWLEDGEMENT AND CONFIRMATION

Important Information

All deposits placed with Close Brothers Limited are subject to our general Customer Agreement, and to any product specific additional conditions as detailed in our literature (the "Terms & Conditions"). The Close Brothers Limited Customer Agreement and any additional conditions form the standard client agreement upon which we intend to rely. A copy of the Customer Agreement is provided with this application form or can be found on our website (www.close-treasury.co.uk). For your own benefit and protection you should read the Customer Agreement and additional conditions carefully before agreeing to them by returning this application form. If you do not understand any point please ask for further information. Copies of the Customer Agreement are also available upon request by telephoning us on 020 7655 3566.

I/we apply to open the account(s) as indicated on this application form. I/we accept the Customer Agreement and any additional conditions contained in this application form, on your website or any other marketing material sent to me/us and confirm that the details given by me/us are correct and will remain in force until such time as you receive notice from me/us in writing to the contrary, together with any necessary revised documentation required by you.

I/we agree that where a foreign exchange transaction is contingent on a payment being made thereafter to a third party, I/we will first advise you of the full details relating to the requested payment and obtain your agreement to make the proposed payment. I/we acknowledge that you are not liable for failure to make a payment where it was not agreed by you in advance.

Authorised Official's Signature

Print Name

Date

Authorised Official's Signature (if applicable)

Print Name

Date

Close Brothers Limited. Registered office: 10 Crown Place London EC2A 4FT. Registered in England and Wales Company No. 195626. Close Brothers Limited is authorised and regulated by the Financial Services Authority No. 124750 (see www.fsa.gov.uk for more details). Close Brothers Limited is a member of the Financial Services Compensation Scheme ("FSCS") which protects up to £50,000 of an eligible depositor's funds held with the bank. The FSCS limit relates to the combined amount of an eligible depositor's accounts with the bank, including their share of any joint account, and not to each separate account. **Close Brothers Limited trades as Close Treasury and Close Savings. The FSCS limit applies to the total funds held by a depositor with Close Brothers Limited under either of our trading names, so if you hold (for example) £50,000 with Close Treasury and £50,000 with Close Savings, only £50,000 (and not £100,000) will be protected.** Close Brothers Limited is a subsidiary of Close Brothers Group plc. All telephone calls are recorded for training and monitoring purposes.

For Office Use Only

Marketing		Customer Administration			
Source		CAD Checked		Customer No.	
Account Officer		Industry Codes		CAD Approved	
Market Officer		Initial Deposit From Nominated Account	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Treasury Back Office
Class		Tax to be Deducted	Yes <input type="checkbox"/>	No <input type="checkbox"/>	TBO Input By
Customer Group		Documents Complete	Yes <input type="checkbox"/>	No <input type="checkbox"/>	TBO Checked



CLOSE TREASURY TREASURY ACCOUNT MANDATE

ACCOUNT HOLDER NAME: ("the Account Holder")

We request that Close Brothers Limited trading as Close Treasury ("the Bank") opens/continues to operate accounts in the Account Holder's name as detailed above and may open such further account(s) as we may request from time to time. In connection with all accounts operated in the name of the Account Holder from time to time, we set out below the names, specimen signatures and other personal information of the persons authorised to give instructions on behalf of the Account Holder.

The Account Holder has considered the Close Brothers Limited Customer Agreement ("the Agreement") and other documents which the Bank has provided and agrees that:

1. The Account Holder hereby:
 - a. appoints the Bank as the Account Holder's bankers; or
 - b. cancels the Account Holder's existing mandate(s) to the Bank (with the exception of any instructions given by (or on behalf of) the Account Holder prior to receipt of this mandate) and replace it/them with the attached.
2. The Account Holder accepts the terms of the Agreement and confirms such acceptance to the Bank by completing the Bank's Account Mandate form.
3. The Account Holder authorises any person named in this mandate in the section headed "Authorised Officials" (an "Authorised Official") individually to give instructions relating to the operation of the Account Holder's accounts, in accordance with the security procedures set out in the Agreement.

The Account Holder notes that the Bank is entitled to act on all instructions given by an Authorised Official in accordance with the Bank's security procedures until the Account Holder notifies the Bank that the Authorised Official is no longer authorised to act for it.

Use of Authorised Officials' personal data

By law, we are required to verify the identity and address of the Authorised Officials. To do this, we may use the services of electronic data registers, including, but not limited to, credit reference agencies. We may also ask you to provide us with documents to confirm identity and addresses. By signing below, each Authorised Official agrees that we may use their personal data in this way. We do not use information relating to Authorised Officials, directors and principal shareholders for marketing purposes, or allow others to use the information for such purposes.

Authorised Officials

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
	Post Code		
Signature	Date of Birth		

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
	Post Code		
Signature	Date of Birth		

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
	Post Code		
Signature	Date of Birth		

