



CLOSE TREASURY

APPLICATION FOR A DEPOSIT ACCOUNT TRUSTS

Please complete this form in **BLOCK CAPITALS** and then return to Close Brothers Limited at the above address.
ALL fields are mandatory, unless otherwise stated.

SECTION 1. ABOUT THE TRUST

Account Holder Name (Name of Trust) Please note that the Account Holder Name <u>must</u> be the same as the attached bank statement(s)			
Nature of Trust		Purpose of Trust	

SECTION 2. PRIMARY CONTACT DETAILS

The personal details of ALL officials authorised to give instructions ("Authorised Officials") must be provided on the attached Mandate form.

Name		Position Held											
Contact No.	Mobile No.	Email Address											
Correspondence Address													
			Post Code										
			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> <td style="width: 15px; height: 15px;"></td> </tr> </table>										

SECTION 3. STERLING ACCOUNT REQUIREMENTS

Sterling Account Details				Initial Deposit Method		
Account Type	Term	Deposit Amount	Cheque	CHAPS	BACS	
Easy Access <input type="checkbox"/>	N/A		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Notice Account <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Fixed Term Deposit <input type="checkbox"/>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Sterling deposits may be made by:

- Enclosing a cheque payable to Close Brothers Limited RE "Account Holder Name".
- Bank transfer direct to Close Brothers Limited: Account Number 00000000, Sort Code 16-51-87, Reference "Account Holder Name"
- **Please note that initial deposits must originate from your nominated UK bank account as detailed in Section 4: Nominated UK Bank Account for Sterling Repayments.**

SECTION 4. NOMINATED UK BANK ACCOUNT FOR STERLING REPAYMENTS

All repayments will only be sent to your nominated bank account which must be held in the 'Account Holder Name'.

Your Nominated UK Bank for Repayment of Sterling Funds														
Bank Name & Address														
Sort Code														
	Account No.													
Roll No.														

APPLICATION FOR A DEPOSIT ACCOUNT

TRUSTS (continued)

SECTION 5. DOCUMENTATION CHECKLIST

To complete our account opening formalities, please forward the following documents with your completed application form.

(All original documentation will be returned)

- A copy of a bank statement dated within the last six months, confirming your nominated account(s) for repayments as detailed in Section 4*
- A certified copy of the original Trust Deed or, if there has been any subsequent changes, a certified copy of the latest Deed of Appointment or Resignation.
- A copy of a letter issued by HMRC confirming the nature of the trust, to enable us to confirm whether interest may be paid without the deduction of UK tax.
- A completed Mandate form as attached, to confirm the personal details of all Authorised Officials.

***Please note we are unable to accept internet banking print outs.** If you are unable to supply a recent bank statement and a cheque deposit is not attached, please provide a cancelled cheque or blank paying in slip confirming your nominated account details.

SECTION 6. ACKNOWLEDGEMENT AND CONFIRMATION

Important Information

All deposits placed with Close Brothers Limited are subject to our general Customer Agreement, and to any product specific additional conditions as detailed in our literature (the "Terms & Conditions"). The Close Brothers Limited Customer Agreement and any additional conditions form the standard client agreement upon which we intend to rely. A copy of the Customer Agreement is provided with this application form or can be found on our website (www.closebrothers.co.uk). For your own benefit and protection you should read the Customer Agreement and additional conditions carefully before agreeing to them by returning this application form. If you do not understand any point please ask for further information. Copies of the Customer Agreement are also available upon request by telephoning us on 020 7655 3566.

I/we apply to open the account(s) as indicated on this application form. I/we accept the Customer Agreement and any additional conditions contained in this application form, on your website or any other marketing material sent to me/us and confirm that the details given by me/us are correct and will remain in force until such time as you receive notice from me/us in writing to the contrary, together with any necessary revised documentation required by you.

For and on behalf of the Account Holder
1st Authorised Official Signature

Print Name

Title

Date

For and on behalf of the Account Holder
2nd Authorised Official Signature (if required)

Print Name

Title

Date

Close Brothers Limited. Registered office: 10 Crown Place London EC2A 4FT. Registered in England and Wales Company No. 195626. Close Brothers Limited is authorised and regulated by the Financial Services Authority No. 124750 (see www.fsa.gov.uk for more details). Close Brothers Limited is a member of the Financial Services Compensation Scheme ("FSCS") which protects up to £50,000 of an eligible depositor's funds held with the bank. The FSCS limit relates to the combined amount of an eligible depositor's accounts with the bank, including their share of any joint account, and not to each separate account. **Close Brothers Limited trades as Close Treasury and Close Savings. The FSCS limit applies to the total funds held by a depositor with Close Brothers Limited under either of our trading names, so if you hold (for example) £50,000 with Close Treasury and £50,000 with Close Savings, only £50,000 (and not £100,000) will be protected.** Close Brothers Limited is a subsidiary of Close Brothers Group plc. All telephone calls are recorded for training and monitoring purposes.

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Marketing		Customer Administration			
Source		CAD Checked			Customer No.
Account Officer		Industry Codes			CAD Approved
Market Officer		Initial Deposit From Nominated Account		Yes <input type="checkbox"/> No <input type="checkbox"/>	Treasury Back Office
Class		Tax to be Deducted		Yes <input type="checkbox"/> No <input type="checkbox"/>	TBO Input By
Customer Group		Documents Complete		Yes <input type="checkbox"/> No <input type="checkbox"/>	TBO Checked



CLOSE TREASURY MANDATE FORM

Account Name: (the "Account Holder")

We request that Close Brothers Limited trading as Close Treasury ("the Bank") opens/continues to operate accounts in the Account Holder's name as detailed above and may open such further account(s) as we may request from time to time. In connection with all accounts operated in the name of the Account Holder from time to time, we set out below the names, specimen signatures and other personal information of the persons authorised to give instructions on behalf of the Account Holder.

The Account Holder has considered the Close Brothers Limited Customer Agreement ("the Agreement") and other documents which the Bank has provided and agrees that:

1. The Account Holder hereby:
 - a. appoints the Bank as the Account Holder's bankers; or
 - b. cancels the Account Holder's existing mandate(s) to the Bank (with the exception of any instructions given by (or on behalf of) the Account Holder prior to receipt of this mandate) and replace it/them with the attached.
2. The Account Holder accepts the terms of the Agreement and confirms such acceptance to the Bank by completing the Bank's Account Mandate form.
3. The Account Holder authorises any person named in this mandate in the section headed "Authorised Officials" (an "Authorised Official") individually to give instructions relating to the operation of the Account Holder's accounts, in accordance with the security procedures set out in the Agreement.

The Account Holder notes that the Bank is entitled to act on all instructions given by an Authorised Official in accordance with the Bank's security procedures until the Account Holder notifies the Bank that the Authorised Official is no longer authorised to act for it.

Use of Authorised Officials' personal data

By law, we are required to verify the identity and address of the Authorised Officials. To do this, we may use the services of electronic data registers, including, but not limited to, credit reference agencies. We may also ask you to provide us with documents to confirm identity and addresses. By signing below, each Authorised Official agrees that we may use their personal data in this way. We do not use information relating to Authorised Officials for marketing purposes, or allow others to use the information for such purposes.

Authorised Officials

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
		Post Code	<input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>
Signature		Date of Birth	

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
		Post Code	<input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>
Signature		Date of Birth	

Name		Position Held	
Home Telephone No.	Mobile Telephone No.	Work Telephone No.	
Email Address			
Residential Address			
		Post Code	<input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/> <input style="width: 20px;" type="text"/>
Signature		Date of Birth	

TREASURY ACCOUNT MANDATE (continued)

Authorised Officials (continued)

Name		Position Held									
Home Telephone No.	Mobile Telephone No.	Work Telephone No.									
Email Address											
Residential Address											
		Post Code	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>								
Signature		Date of Birth									

Instructions to amend customer static data can only be provided to the Bank in writing and must be signed by an Authorised Official. Fax, email or telephone requests will not be accepted. Instructions to make payments on an account can be provided by Authorised Officials in any way permitted by the Agreement.

It is the responsibility of the Account Holder to advise the Bank of any changes to your Authorised Officials. The Authorised Officials will remain in place until such time as you update us and confirm the removal, or addition of Authorised Officials. Any amendments to Authorised Officials must be made by providing the Bank with an updated Account Mandate form.

Use of personal data provided by the Account Holder

By law, we are required to verify the identity and address of the Authorised Officials, directors and principal shareholders. To do this, we may use the services of electronic data registers, including, but not limited to, credit reference agencies. We may also ask you to provide us with documents to confirm identity and addresses of Authorised Officials. **If, as part of your appointment of Close Treasury as your bankers, you provide us with information about another person, you are also confirming that you have told them about this Account Mandate, that they agree to the use of their personal data in this manner and that we may contact those officials and verify their identity and address.** The Bank reserves the right to refuse individuals to be Authorised Officials on any account operated by you. We do not use information relating to Authorised Officials, directors and principal shareholders for marketing purposes, or allow others to use the information for such purposes.

Password

Please provide us with a suitable password which is easy to remember and difficult to guess. The password will be used as part of our identification process for authorised individuals.

Password	<table border="1" style="display: inline-table; border-collapse: collapse;"> <tr> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> <td style="width: 20px; height: 20px;"></td> </tr> </table>											(minimum of 5 characters and maximum of 10 characters, without spaces)

We confirm that we have read and understood the information provided on this form and that the details given by us are correct and will remain in force until such time as you receive notice from us in writing to the contrary, together with any necessary revised documentation required by you.

For and on behalf of the Account Holder

1st Authorised Official Signature

(must be signed by an Authorised Official detailed on the Mandate)

Print Name

Title

Date

For and on behalf of the Account Holder

2nd Authorised Official Signature (if required)

(must be signed by an Authorised Official detailed on the Mandate)

Print Name

Title

Date

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Mandate for FX services